Conflict of Interest Code of the

PUBLIC WORKS, DEPARTMENT OF

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Director and forward the original of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose a residence, such as a home or vacation cabin, used exclusively as a personal residence; however, a residence in which a person rents out a room or for which a person claims a business deduction may be reportable.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income (including gifts, loans and travel payments) and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts, loans and travel payments) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

CATEGORY 5

Persons in this category shall disclose all business positions, investments in, or income (including gifts, loans and travel payments) received from:

(A) Business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this category; and

EXHIBIT "A" (Continued)

<u>CATEGORY 5</u> (Continued)

(B) Business entities that are subject to inspection or enforcement action by the designated positions in their capacity as employees of the Department of Public Works under provisions of the Los Angeles County Building, Mechanical, Plumbing, Electrical, or Zoning Code Enforcement, or subject to the Clean Water Act, California Environmental Quality Act, California Integrated Waste Management Act, National Environmental Pollution Act, California Health and Safety Code, Titles 11, 12 and 20 of the Los Angeles County Code, or subject to plan checks or approvals for grading, drainage, geological studies, industrial waste, underground tank storage of hazardous substances, land use permit compliance, refuse collection & waste diversion services, right-of-way acquisition or appraisal by the County of Los Angeles or any special districts therein.

Depending upon the specific job assignment of the designated position, these business entities shall include but are not limited to:

- (1) Businesses involved in land speculation or development for purposes of subdivision, grading, residential or commercial/industrial development, land filling, mineral exploitation or mining;
- (2) Any engineering, architectural or other firm preparing plans and reports for construction:
- (3) Any firm of consulting engineers, geologists or similar specialties submitting reports for approval by the Director of Public Works;
- (4) Any testing firms or laboratories;
- (5) Any supplier of building systems, materials and services;
- (6) Businesses entities involved in the collection, processing, transportation, and/or disposal of solid and/or hazardous waste;
- (7) Businesses entities involved in the construction, installation, modification, or removal of underground hazardous materials storage tanks or industrial waste control or disposal facilities;
- (8) Any firm of engineers, biologists, environmental consultants/planners, public relations consultants, landscape architects, land use planners, ecologist or similar specialties submitting studies, reports or plans for approval or providing services to the Department of Public Works.

EXHIBIT "A" (Continued)

CATEGORY 6

Persons in this category shall disclose all income from any Department of Public Works employee, any representative or association of such employee, and business positions or income (including gifts, loans and travel payments) from any entity owned or controlled by such employee or his/her spouse or other financial dependent.

CATEGORY 7

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the Department Head of the agency. (See footnote in Exhibit "B" for clarification.)

Proposed Exhibit "B" (Continued)

ADMINISTRATION

<u>Title</u>	<u>Category(ies)</u>
Director of Public Works	1, 2, 3
Chief Deputy Director	1, 2, 3
Assistant Director, Public Works	1, 2, 3
Administrative Deputy, Public Works	1, 2, 3
Deputy Director, Public Works	1, 2, 3
Special Services Assistant V	1, 2, 3
Departmental Public Affairs Manager	5
*Consultants/New Positions	7

Architectural Engineering Division

<u>Title</u>	Category(ies)
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer (Design and Review Section)	5
Supervising Architect I	5
Supervising Architect II	5
Architect	5
Landscape Architect	5
Electrical Engineer	5
Mechanical Engineer	5
Associate Mechanical Engineer	5
Administrative Services Division Manager	2, 3
Management Analyst	2, 3
Administrative Services Manager I	2, 3
Administrative Services Manager II	2, 3
Administrative Services Manager III	2, 3
Senior Capital Projects Manager	2, 3
Senior Electrical Engineer	5
Supervising Landscape Architect II	5
Senior Mechanical Engineer	5
Senior Structural Engineer	5
Structural Engineer	5
Head Building Inspector	5
Building Inspector I	5
Building Inspector II	5
Building Inspector III	5

Proposed Exhibit "B" (Continued)

Building Inspector IV	5
Management Specialist II	2,3

Aviation Division

<u>Title</u>	Category(ies)
Chief, Aviation Division, Public Works	1, 2, 3
Assistant Chief, Airports Division	1, 2, 3
Airport Project Coordinator	2, 3

Building and Safety Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Electrical Engineer	1, 5
Senior Mechanical Engineer	1, 5
Senior Structural Engineer	1, 5
Senior Civil Engineer	1, 5
District Building & Safety Engineering Associate	1, 5
Building Rehabilitation Supervisor	1, 5
Supervising Building & Safety Engineering Specialist	1, 5
Civil Engineering Assistant	1, 5
Senior Civil Engineering Assistant	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineer	1, 5
Electrical Engineer	1, 5
Associate Electrical Engineer	1, 5
Principal Electrical Engineering Assistant	1, 5
Senior Electrical Engineering Assistant	1, 5
Mechanical Engineer	1, 5
Associate Mechanical Engineer	1, 5
Principal Mechanical Engineering Assistant	1, 5
Senior Mechanical Engineering Assistant	1, 5
Mechanical Engineering Assistant	1, 5
Associate Civil Engineer	1, 5
Senior Building Engineering Inspector	1, 5
Building Engineering Inspector	1, 5
Building Permit Technician I	1, 5
Building Permit Technician II	1, 5

Proposed Exhibit "B" (Continued)

CHIEF INFORMATION OFFICE BRANCH (CIO)

<u>Title</u>	<u>Category(ies)</u>
Departmental Chief Information Officer II	2, 3
Departmental Information Security Officer II	4
Information Technology Division Technology Planning and Governance Title	<u>Category(ies)</u>
Information Technology Specialist I	4
Information Technology Division Systems & Applications Title	Category(ies)
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IT Manager III	4
IT Manager II	4
Senior Civil Engineer	4
Information Technology Specialist I	4
Information Technology Specialist II	4
Systems Development Specialist	4
Associate Civil Engineer	4
Information Technology Division Operations & Infrastructure	Cataman dias)
<u>Title</u>	<u>Category(ies)</u>
IT Manager III	4
IT Manager II	4
Electronic Communications Technician	4
Electronic Communications Technician Supervisor	4
Sr. Network Systems Administrator	4
IT Tech Support Supervisor	4
Information Technology Specialist I	4
Information Technology Specialist II	4
Senior Telecomm Systems Engineer	4
Senior Information Systems Analyst	4

Proposed Exhibit "B" (Continued)

Construction Division

<u>Title</u>	Category(ies)
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 5
Civil Engineer	5
Associate Civil Engineer	5
Supervisor, Contract Construction	5
Head Construction Inspector	5
Senior Construction Inspector	5
Construction Inspector	5
Principal Civil Engineering Assistant	5
Senior Civil Engineering Assistant	5
Design Division Title	Category(ies)
Assistant Deputy Director, Bublic Works	1 2 2

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	5
Senior Mechanical Engineer	5
Civil Engineer	5
Associate Civil Engineer	5
Associate Mechanical Engineer	5
Mechanical Engineer	5

Disaster Services Group

<u>Title</u>	Category(ies)
Senior Civil Engineer	4
Disaster Services Analyst	4
Senior Disaster Services Analyst	4

Proposed Exhibit "B" (Continued)

Environmental Programs Division

<u>Title</u>	Category(ies)
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Civil Engineer	1, 5
Supervising Waste Control Engineer I	1, 5
Supervising Waste Control Engineer II	1, 5
Senior Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineering Assistant	1, 5
Senior Waste Control Engineering Inspector (Industrial Waste/Underground Tank Section)	5

FINANCIAL MANAGEMENT BRANCH

<u>Title</u>

Category(ies)

Assistant Deputy Director, Finance, Public Works	1, 2, 3
Chief, Administrative Operations, Public Works	4
Assistant Chief, Fiscal Division, Public Works (Budget/Fund Management)	4

Administrative Services Division

<u>Title</u>	Category(ies)
Chief, Administrative Operations Public Works	2, 3
Administrative Services Manager III	2, 3
Administrative Services Manager I	2, 3
Administrative Services Manager II	2, 3
Management Analyst	2, 3
Management Assistant	2, 3
Records Manager, Public Works	4
Management Specialist I, Public Works	2, 3
Administrative Assistant I	2, 3
Administrative Assistant II	2, 3
Administrative Assistant III	2, 3
Materials Manager, Public Works	2, 3
Supervising Administrative Assistant III	2, 3
Staff Assistant II	2, 3
Supervisor, Materials and Supply Operations	4

Proposed Exhibit "B" (Continued)

Fleet Management Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	2,3
Principal Engineer	2,3
Civil Engineer	4
Chief Fleet Management, Public Works	2,3
Assistant Fleet Manager, Public Works	4
Shop Superintendent, Public Works	4
Power Equipment Technician, Supervisor	4
Power Equipment Technician Working Supervisor	4
Power Equipment Technician	4
Power Equipment Technician Helper II	4
Body & Fender Mechanic, Working Supervisor	4
Power Equipment Specification Writer	4
Automotive Equipment Coordinator	4
Assistant Automotive Equipment Coordinator	4
Automotive Equipment Inspector	4
Assistant Automotive Equipment Inspector	4
Transportation and Services Supervisor	4
Administrative Assistant III	4
Management Assistant	4

Flood Maintenance Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer /Area Engineer	1, 2, 3
Senior Civil Engineer	5
Civil Engineer	5
Construction Superintendent	5
Flood Control Construction Supervisor	5
Associate Civil Engineer (Field Offices)	5
Associate Civil Engineer (HQ - Office)	5

Proposed Exhibit "B" (Continued)

Geotechnical and Materials Engineering Division

Title Category(ies) 1, 2, 3 Assistant Deputy Director, Public Works Principal Engineer 1, 5 1, 5 Supervising Engineering Geologist IV Senior Civil Engineer 1, 5 Supervising Engineering Geologist III 1, 5 Civil Engineer 1, 5 <u>1</u>, 5 Supervising Engineering Geologist II 1, 5 Associate Civil Engineer 1, 5 Supervising Civil Engineering Assistant Principal Engineering Geologist Assistant 1, 5 Senior Engineering Geology Assistant 1, 5 1, 5 Civil Engineering Assistant **Engineering Geology Assistant** 1, 5 1, 5 Supervisor, Contract Construction Senior Construction Inspector 1, 5 Supervising Engineering Testing Technician II 1, 5 1, 5 Supervising Engineering Testing Technician I Senior Civil Engineering Assistant 1, 5

Human Resources Division

TitleCategory(ies)Chief, Administrative Operations, PW4, 6Administrative Services Manager III4, 6Training Officer, Public Works4, 6Head, Department Civil Service Representative (Head Advocate)4, 6Department Civil Service Representative (Advocate)4, 6Senior Departmental Employee Relations Representative4, 6

Internal Audit Group

Category(ies)

Title

Head Compliance Office	r	6

Proposed Exhibit "B" (Continued)

Land Development Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 5
Senior Civil Engineer (All Sections)	1, 5
Civil Engineering Assistant	1, 5
Senior Civil Engineering Assistant	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Building Permit Technician I	1, 5
Supervising Cadastral Engineer I	1, 5
Principal Survey Mapping Technician	1, 5
Senior Survey Mapping Technician	1, 5
Senior Civil Engineering Technician	1, 5
Supervising Civil Engineering Assistant	1, 5
Management Specialist II (Graffiti Abatement)	5
Management Specialist I (Graffiti Abatement)	5

Proposed Exhibit "B" (Continued)

Operational Services Division

Assistant Deputy Director, Public Works	1, 2, 3
Assistant Chief, Operational Services, Public Works	1, 2, 3
Principal Engineer	5
Senior Civil Engineer	5
Road Maintenance Superintendent	5
Chief Electrician Supervisor	5
Electrician Supervisor	5
Power Line Working Supervisor	5
Head, Maintenance and Repair Services	5
Refrigeration Mechanic Working Supervisor	5
Senior Welder-Fitter	5
Welder-Fitter Supervisor	5
Electrician Working Supervisor	5
Carpenter Working Supervisor	5
Road Maintenance Supervisor	5
Senior Painter	5
Painter Supervisor	5
Plumber Supervisor	5
Electro-Mechanic Working Supervisor	5
Electrical Engineer	5
Associate Civil Engineer	5
Civil Engineer	5
Facility Manager, Public Works	4
Head, Building Maintenance, Public Works	5
Chief, Administrative Operations, Public Works	5
Administrative Assistant III	4

Proposed Exhibit "B" (Continued)

Programs Development Division

TitleCategory(ies)Assistant Deputy Director, Public Works1, 2, 3Governmental Relations Representative, PW1, 2, 3Principal Engineer1, 2, 3Senior Civil Engineer1, 2, 3Administrative Services Manager XIII1, 2, 3

Project Management Division I

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Facilities Project Manager	2, 3
Senior Capital Projects Manager, Public Works	2, 3
Capital Projects Manager, Public Works	2, 3
Capital Projects Management Associate, Public Works	2, 3
Capital Projects Program Manager, Public Works	2, 3
Facilities Project Manager II	2, 3
Senior Civil Engineer	2, 3
Associate Civil Engineer	2, 3
Senior Civil Engineering Assistant	2, 3
Civil Engineering Assistant	2, 3
Administrative Assistant III	2, 3
Administrative Assistant II	2, 3
Civil Engineer	2, 3
Consultant Project Managers	2, 3
Principal Civil Engineering Assistant	2, 3
Capital Projects Management Assistant, Public Works	2, 3
Principal Engineer	2, 3

Proposed Exhibit "B" (Continued)

Project Management Division II

Title Category(ies) 1, 2, 3 Assistant Deputy Director, Public Works 2, 3 Capital Projects Program Manager, Public Works 2, 3 Principal Facilities Project Manager Senior Capital Projects Manager, Public Works 2, 3 2, 3 Capital Projects Manager, Public Works 2, 3 Capital Projects Management Associate, Public Works 2, 3 Senior Civil Engineer 2, 3 Associate Civil Engineer 2, 3 Facilities Project Management Associate 2, 3 Architectural Associate Senior Civil Engineering Assistant 2, 3 2, 3 Civil Engineering Assistant Management Specialist I 2, 3 Engineering Administrator XIII, ISD 2, 3 Capital Projects Management Assistant, Public Works 2, 3 2, 3 Principal Civil Engineer Assistant 2, 3 Civil Engineer

Public Relations Group

Management Specialist I	4
Management Specialist II	4
Program Manager I	4
Program Manager II	4
Administrative Assistant I	4
Administrative Assistant II	4
Administrative Assistant III	4
Video Production Specialist	4
Head, Graphic Artist	4
Public Information Officer I	4
Supervising Photographer	4

Proposed Exhibit "B" (Continued)

Risk Management Office

<u>Title</u>	Category(ies)
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Administrative Services Division Manager	1, 2, 3
Administrative Services Manager II	4,6
Safety Officer II	4,6

Road Maintenance Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	5
Associate Civil Engineer	5
Bridge Maintenance Supervisor	5
Road Maintenance Superintendent	5
Road Maintenance Supervisor	5
Civil Engineer	5
Supervising Cadastral Engineer III	1, 4

Sewer Maintenance Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Electro-Mechanic Supervisor	4
Electro-Mechanic Working Supervisor	4
Electro-Mechanic	4
Regional Sewer Maintenance Superintendent	4
Sewer Maintenance Supervisor	4
Wastewater Treatment Plant Operator Supervisor	4

Proposed Exhibit "B" (Continued)

Survey/Mapping and Property Division

Title Category(ies) Assistant Deputy Director, Public Works 1, 2, 3 1, 2, 3 Principal Engineer 1, 2, 3 Principal Real Property Agent 1, 2, 3 Senior Real Property Agent Real Property Agent II 1 1 Real Property Agent I 1, 4 Senior Civil Engineer 1, 4 Supervising Cadastral Engineer III Supervising Valuation Engineer II 1, 4 Supervising Valuation Engineer I 1, 4 Valuation Engineer II 1, 4 Head, Real Estate, Public Works 1, 2, 3 Supervising Title Examiner III 1, 4 Civil Engineer 1, 4 1, 4 Supervising Cadastral Engineer II Supervising Field Engineer 1, 4 Field Engineer 1, 4 1, 4 Survey Supervisor I, Flood Control Supervising Cadastral Engineer I 1, 4

Traffic and Lighting Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer (All Sections)	1, 5
Associate Civil Engineer (All Sections)	1, 5
Civil Engineer (All Sections)	1, 5

Exhibit "B"

Water Resources Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 5
Civil Engineer	1, 5
Associate Civil Engineer	1 ,5

Watershed Management Division

Category(ies)

<u>Title</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Capital Project Manager, PW	1, 5
Head, Environmental Engineering Specialist	1, 5

Waterworks Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Regional Water Service Superintendent	4
Water Service Supervisor	4
Senior Water Service Worker	4
Electro-Mechanic Supervisor	4
Electro-Mechanic Working Supervisor	4
Electro-Mechanic	4

Exhibit "B"

*Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitations:

The Department Head or his or her designee may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Department Head or his or her designee's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

EFFECTIVE DATE: 09/07/2016